



IAP15 Rec'd PCT/PTO 11 DEC 2006

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:
Date: December 7, 2006 Name: Jeffrey H. Canfield Signature: [Signature]

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& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Lars KönigAppln. No.: 10/562,354Filed: December 27, 2005For: SYSTEM AND DEVICE FOR
CONTROLLING A SPEECH DIALOG
SYSTEM

Examiner: TBA

Art Unit: TBA

Attorney Docket No: 11336/1237 (P03064US)

Mail Stop Missing Parts
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Transmittal Letter (in Duplicate); Petition for Extension of Time (2pgs.) (in Duplicate); Copy of Notification of Missing Requirements Under 35 USC 371 (2pgs); Response to Notification of Missing Requirements (in Duplicate) (2 pgs); Signed Declaration (1 pg.); and Checks for \$130 and \$2,160.00
- ☒ Return Receipt Postcard

Fee calculation:

- ☐ Small Entity.
- ☒ An extension fee in an amount of \$2,160 for a Five-month extension of time under 37 C.F.R. § 1.136(a).

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Small Entity		or	Not a Small Entity	
					Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

Fee payment:

- ☒ Two checks in the amount of \$2,160 and \$130 are enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

12/14/2006 MKAYPAGH 00000126 10562354

02 FC:1617

Date 12/7/06 130.00 OP

Respectfully submitted,

[Signature]
Jeffrey H. Canfield (Reg. No. 38,404)

BRINKS HOFFER GILSON & LIONE

NBC Tower – Suite 3600, 455 N. Cityfront Plaza Drive, Chicago, IL 60611-5599

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313
on December 7, 2006

Date of Deposit

Jeffrey H. Canfield, Reg. No. 38,404

Name of applicant, assignee or
Registered Representative

Signature

12/7/06

Date of Signature

Case No. 11336-1237 (P03064US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Lars König

Serial No.: 10/562,354

Filed: December 27, 2005

For: SYSTEM AND DEVICE
FOR CONTROLLING A
SPEECH DIALOG
SYSTEM

Attention: Manager,
Application Branch

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attn.:

Dear Sir:

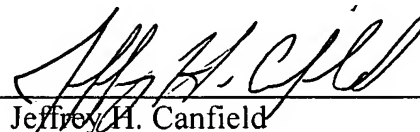
In accordance with the Notification of Missing Requirements under 35 U.S.C. 371 in the United States designated/elected office (DO/EO/US) dated May 8, 2006, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.

- ☒ Applicant is:
- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ Checks totaling \$2,290 for:
- ☐ Filing Fee of \$____.
- ☒ Surcharge of \$130.
- ☐ Additional Claim Fees of \$____.
- ☒ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☐ Other: _____.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: December 8, 2006



Jeffrey H. Canfield
Registration No. 38,404
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312)321-4200



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/562,354	Lars König	11336-1237 (P03064US)

INTERNATIONAL APPLICATION NO.

PCT/EP04/07114

I.A. FILING DATE	PRIORITY DATE
06/30/2004	06/30/2003

Brinks Hofer Gilson & Lione
 P O Box 10395
 Chicago, IL 60610

CONFIRMATION NO. 6285

371 FORMALITIES LETTER



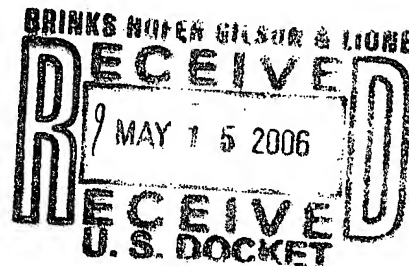
OC000000018700514

Date Mailed: 05/08/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/27/2005
- Copy of the International Search Report filed on 12/27/2005
- U.S. Basic National Fees filed on 12/27/2005
- Priority Documents filed on 12/27/2005



The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

ANITA D JOHNSON

Telephone: (703) 308-9140 EXT 226

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/562,354	PCT/EP04/07114	11336-1237 (P03064US)

FORM PCT/DO/EO/905 (371 Formalities Notice)